

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Docket No.: PZ037P1C1

Soppet et al.

Application No.: 09/997,131

Confirmation No.: 3384

Filed: November 30, 2001

Art Unit: 1646

For: 33 Human Secreted Proteins

Examiner: M. T. Brannock

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of a claim of the subject application, Agent for Applicants hereby directs the Examiner's attention to references AE-AJ listed on the attached Form PTO/SB/08. Copies of the references are enclosed.

Identification of the listed references is not to be construed as an admission of any individual associated with the filing or prosecution of the subject application that such references are available as "prior art" against the subject application. Furthermore, Applicants do not waive any rights to take appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the subject application.

The listed references are presented so that the Patent and Trademark Office can determine any materiality thereof to the claimed invention. See 37 C.F.R. § 1.104(a) concerning the Examiner's duty to consider and use any such information. Applicants respectfully request that the Examiner make the listed references of record in the file history of the application, and consider the information contained therein during the prosecution of this application.

Pursuant to 37 C.F.R. § 1.97(c), because this Information Disclosure Statement is being submitted after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Office Action, or a Notice of Allowance under 37 C.F.R. § 1.311, or an action

that otherwise closes prosecution, the Patent and Trademark Office will consider this Information Disclosure Statement if it is accompanied by the fee as specified in 37 C.F.R. § 1.17(p).

Account No. 08-3425 in the amount of \$180.00 as payment of the required fee, as itemized on the Fee Transmittal Sheet submitted concurrently herewith. The Patent & Trademark Office is also authorized to charge any additional required fee or credit any overpayment in connection with this submission to our Deposit Account No. 08-3425.

Date: 4/1/2

Respectfully submitted.

Doyle A. Siever

Reg. No. 47,088)

Agent for Applicants

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KKH/DAS/PF/ba

APR 0 1 2005

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Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of the control number.

Effective on 1208/2004.				Complete if Known				
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).				Application Num	ber 0	09/997,131-Conf. #3384		
FEE TRANSMITTAL						November 30, 2001		
						aniel R. Sop	pet	
For FY 2005				Examiner Name M.		1. T. Brannoc	k	_
Applicant claims small entity status. See 37 CFR 1.27				Art Unit 1646				
TOTAL AMOUNT OF	PAYMENT	(\$) 180.00		Attorney Docket	No. F	Z037P1C1		
METHOD OF PAYM	IENT (check all t	hat apply)						
Check Cree	dit Card	Ioney Order	Nor	ne Other (	please identi	fy):		
X Deposit Account	Deposit Account Numb	er: 08-3425 De	eposit Acc	ount Name:	Human	Genome Sci	ences, Inc	•
For the above-i	dentified deposit a	account, the Dir	ector is	hereby authorize	d to: (check	call that apply	)	
X Charge fe	ee(s) indicated bel	ow		Charge	e fee(s) indi	cated below, e	except for t	ne filing fee
X Charge a	ny additional fee(s der 37 CFR 1.16	s) or underpayn	nent of	x Credit	any overpa	yments		
FEE CALCULATION								<del></del>
1. BASIC FILING, SEA		INATION FEE	s			· · ·		
		G FEES	SE	ARCH FEES	EXAMIN	ATION FEES	3	
Application Type	Fee (\$)	Small Entity Fee (\$)	Fee (\$	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees f	Paid (\$)
Utility	300	150	500	250	200	100		
Design	200 _	100	100	50	130	65		
Plant	200	100	300	150	160	80	-	
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0		
2. EXCESS CLAIM FEI	ES							Small Entity
Fee Description							<u>Fee (\$)</u>	<u>Fee (\$)</u>
Each claim over 20 (in	•						50	25
Each independent claim	•	g Reissues)					200	100
Multiple dependent cla	ims						360	180
		ee (\$)	Fee F	Paid (\$)		Multiple Dependent Claims		
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3. APPLICATION SIZE								
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listings under 37 C sheets or fraction t					or small en	nry) for each a	idaitional 30	,
Total Sheets	Extra Sheets			dditional 50 or frac	tion thereof	Fee (\$)	Fee	Paid (\$)
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4. OTHER FEE(S)		- <u></u>					Fees	Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)								
Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00								
SUBMITTED BY	<del> </del>	<del></del>			· · · · · · · · · · · · · · · · · · ·			
Signature	aha 1.			Registration No. (Attorney/Agent)	47,088	Telephone	(301) 35	4-3932
	A. Siever			(Automes/Agent)		Date 4//	12005	
Boyle	0,070,		_			<u> </u>	12403	_

PTO/SB/08a/b (08-03)
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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of Sheet 1

Complete if Known				
Application Number	09/997,131-Conf. #3384			
Filing Date	November 30, 2001			
First Named Inventor	Daniel R. Soppet			
Art Unit	1646			
Examiner Name	M. T. Brannock			
Attorney Docket Number	PZ037P1C1 ·			

l	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

1

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	AE	GREEN et al., "The CMRF-35 mAb recognizes a second leukocyte membrane molecule with a domain similar to the poly Ig receptor," <i>Int'l Immunol.</i> , 10(7):891-899 (1998).	
	AF	MALONEY et al., "Phase I Clinical Trial Using Escalating Single-Dose Infusion of Chimeric Anti-CD20 Monoclonal Antibody (IDEC-C2B8) in Patients With Recurrent B-Cell Lymphoma," Blood, 84(8):2457-2466 (Oct. 15, 1994).	
	AG	SUI et al., "IgSF13, a novel human inhibitory receptor of the immunoglobulin superfamily, is preferentially expressed in dendritic cells and monocytes," <i>Biochem. and Biophys. Res. Commun.</i> , 319:920-928 (2004).	
	АН	VAN DER LUBBE et al., "Treatment with a Chimeric CD4 Monoclonal Antibody is Associated with a Relative Loss of CD4*/CD45RA* Cells in Patients with Rheumatoid Arthritis," <i>J. Autoimmun.</i> , 10:87-97 (1997).	
	Al	RILEY et al., "CD20: a gene in search of a function," Semin. Oncol., 27(6 Suppl. 12):17-24 (Dec. 2000) (abstract only).	
	AJ	O'NEAL, CHERYL, "Rituximab," Clin. J. Oncol. Nursing, 5(2):75-76 (MarApr. 2001).	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date
Signature	Considered

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.